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PATENT APPLICATION

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of: )  
YOSHIHIKO FUKUMOTO )  
Application No.: 09/429,530 )  
Filed: October 28, 1999 )  
For: METHOD FOR FABRICATING )  
SEMICONDUCTOR DEVICE :  
October 31, 2002

Commissioner for Patents  
Washington, D.C. 20231

RECEIVED  
NOV - 8 2002  
1C1100 MAIL ROOM

INFORMATION DISCLOSURE STATEMENT

Sir:

In compliance with the duty of disclosure under 37 C.F.R. § 1.56 and in accordance with the practice under 37 C.F.R. §§ 1.97 and 1.98, the Examiner's attention is directed to the documents listed below and on the enclosed Form PTO-1449. Copies of the listed documents are also enclosed.

- (1) U.S. Patent No. 5,868,866
- (2) Japan 5-134398
- (3) Japan 7-74132
- (4) Japan 8-238463

I hereby certify that this correspondence is being deposited with the United States Postal Service as first class mail in an envelope addressed to: Commissioner of Patents and Trademarks, Washington, D.C. 20231 on OCT-31-2002  
(Date of Deposit)

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Name of Attorney for Applicant

Damond E. Vadnais OCT-31-2002  
Signature Date of Signature

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English-language abstracts for references (2) to (4) are enclosed. Reference (1) is believed to be an English-language counterpart of reference (4). The concise explanation of relevance for references (2) and (3) is believed to be satisfied by their English-language abstracts. The concise explanation of relevance for reference (4) is believed to be satisfied by its English-language abstract or English-language counterpart.

The subject application has received an Office Action on the merits but has not yet received either a final action or a Notice Of Allowance. Accordingly, this Information Disclosure Statement is filed under 37 C.F.R. § 1.97(c) and is accompanied by the \$180.00 fee specified at 37 C.F.R. § 1.17(p). The Examiner is urged to study this information in its entirety and to form an independent determination of the materiality of the information to the claimed invention. Additionally, the Examiner is requested to indicate that this information has been considered by initialing the appropriate portion of Form PTO-1449.

Applicant's undersigned attorney may be reached in our Costa Mesa, California office by telephone at (714) 540-8700. All correspondence should continue to be directed to our address given below.

Respectfully submitted,

  
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